

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

P02,0022

U.S.APPLICATION NO. (if known, see 37 CFR 1.5)

10/048207

|   |                           |                       |
|---|---------------------------|-----------------------|
| INTERNATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| PCT/DE99/02339  | 29 July 1999              | 29 July 1999          |
| TITLE OF INVENTION "METHOD FOR PRODUCING INTEGRATED SEMICONDUCTOR COMPONENTS" |                           |                       |
| APPLICANT(S) FOR DO/EO/US Lars-Peter Heineck, Tobias Jacobs and Josef Winnerl |                           |                       |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**Three Separate Executed Declarations**)
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (**PTO 1449, Prior Art, Search Report**)
12.  An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (**Three Separate Executed Assignments**)  
**(See Attached Envelope)**
  - A FIRST preliminary amendment.
  - A SECOND or SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:
  - a.  Submission of Drawings - 9 sheets
  - b.  Submission of Proposed Drawing Changes
  - c.  EXPRESS MAIL #EL843746043US dated January 28, 2002

U.S.APPLICATION NO. if known, see 37 C.F.R. 1.51

10/0482

INTERNATIONAL APPLICATION NO.  
PCT/DE99/02339ATTORNEY'S DOCKET NUMBER  
0022

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$890.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ... \$670.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international  
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all  
claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from  
the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

| Claims                    | Number Filed | Number Extra | Rate  |                             |    |
|---------------------------|--------------|--------------|---|-----------------------------|----|
| Total Claims              | 10 - 20 =    | 0            | X \$18.00   | \$                          |    |
| Independent Claims        | 1 - 3 =      | 0            | X \$84.00   | \$                          |    |
| Multiple Dependent Claims |              |              | \$280.00 +  | \$                          |    |
|                           |              |              | <b>TOTAL OF ABOVE CALCULATIONS =</b>  | \$ 890.00                   |    |
|                           |              |              | Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also<br>be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)   | \$                          |    |
|                           |              |              | <b>SUBTOTAL =</b>   | \$ 890.00                   |    |
|                           |              |              | Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months<br>from the earliest claimed priority date (37 CFR 1.492(f)). | \$                          |    |
|                           |              |              | <b>TOTAL NATIONAL FEE =</b>   | \$ 890.00                   |    |
|                           |              |              | Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property                           | SEE<br>ATTACHED<br>ENVELOPE |    |
|                           |              |              | <b>TOTAL FEES ENCLOSED =</b>  | \$ 890.00                   |    |
|                           |              |              |   | Amount to be<br>refunded    | \$ |
|                           |              |              |   | charged                     | \$ |

a.  A check in the amount of \$890.00 to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A  
duplicate copy of this sheet is enclosed.

c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must  
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606-6473  
Customer Number 26574

  
SIGNATURE

James D. Hobart

NAME

24,149

Registration Number

10/048207  
JAN Rec'd PCT/PTO 28 JAN 2002

**CERTIFICATE OF MAILING BY U.S. EXPRESS MAIL**

"Express Mail" Mailing Label Number EL843746043US

**Date of Deposit:** January 28, 2002

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231**

**Case Number:** P02,0022

**International Application No.**  
PCT/DE99/02339

**International Filing Date**  
29 July 1999

**Priority Date Claimed**  
29 July 1999

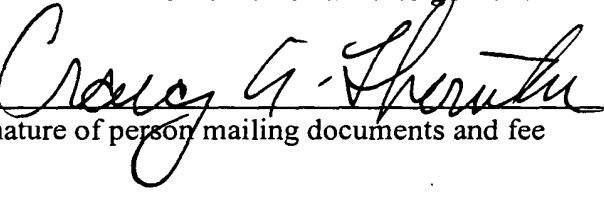
**Title:** "METHOD FOR PRODUCING INTEGRATED SEMICONDUCTOR COMPONENTS"

**Applicant(s):** Lars-Peter Heineck, Tobias Jacobs and Josef Winnerl

**Enclosed are the following documents:**

International application as filed;  
English translation of application and annexes;  
Executed Declarations (3);  
Information Disclosure Statement, PTO 1449,  
Prior Art, Search Report;  
Preliminary Amendment;  
Substitute Specification;  
Marked-up Copy of Specification;  
Letter Submitting Drawings - 9 sheets;  
Submission of Proposed Drawing Changes;  
PTO 1390 in duplicate;  
Filing Fee: \$890.00;  
Postcard.

**Executed Assignments, PTO 1595 form, \$40.00  
filing fee, postcard, in attached envelope to be  
forwarded to the Assignment Branch.**

  
Signature of person mailing documents and fee

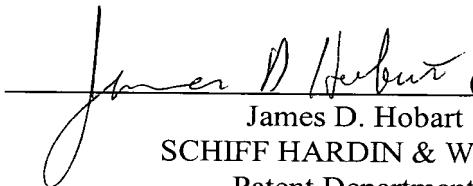
10/048207

JC13 3rd PCT/PTC 28 JAN 2002

- 2 -

If these corrections are approved by the Examiner, Formal Drawings incorporating these changes will be submitted once the application has been allowed.

Respectfully submitted,

 (Reg. No. 24,149)

James D. Hobart

SCHIFF HARDIN & WAITE

Patent Department

6600 Sears Tower

233 South Wacker Drive

Chicago, Illinois 60606

Telephone: (312) 258-5781

**Customer Number 26574**

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DATED: January 28, 2002

- 1 -

#7  
Formal  
Drawings  
50ans  
3/20/03

IN THE UNITED STATES ELECTED OFFICE OF  
THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY - CHAPTER II

SUBMISSION OF DRAWINGS

5 APPLICANTS: Lars-Peter Heineck, Tobias Jacobs and Josef Winnerl

ATTORNEY

DOCKET NO.: P02,0022

SERIAL NO.:

EXAMINER:

FILING DATE:

ART UNIT:

10 INTERNATIONAL APPLICATION NO.: PCT/DE99/02339

INTERNATIONAL FILING DATE: 29 July 1999

INVENTION: "METHOD FOR PRODUCING INTEGRATED  
SEMICONDUCTOR COMPONENTS"

**BOX PCT**

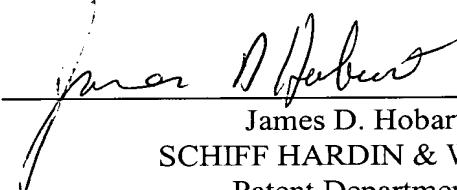
15 Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

Attached herewith are nine sheets of Formal Drawings containing Figs.

1-18.

20 Respectfully submitted,

 (Reg. No. 24,149)

James D. Hobart

SCHIFF HARDIN & WAITE

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25

30 DATED: January 28, 2002

#6  
Drawn Chg  
SDavis  
3/20/03

IN THE UNITED STATES ELECTED OFFICE OF  
THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY - CHAPTER II

SUBMISSION OF PROPOSED DRAWING CHANGES

5 APPLICANTS: Lars-Peter Heineck, Tobias Jacobs and Josef Winnerl  
ATTORNEY  
DOCKET NO.: P02,0022  
SERIAL NO.: EXAMINER:  
FILING DATE: ART UNIT:  
10 INTERNATIONAL APPLICATION NO.: PCT/DE99/02339  
INTERNATIONAL FILING DATE: 29 July 1999  
INVENTION: "METHOD FOR PRODUCING INTEGRATED  
SEMICONDUCTOR COMPONENTS"

15 **BOX PCT**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

20 Applicants propose to make changes to Figs. 2-18, which changes are  
indicated in red in the attached drawings and include the addition of element numbers  
and changing the element number 10 in the area 9 to be 10'.